

TRANSMITTAL FORM

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| Application Serial Number | 09/780,668 |
| Filing Date | February 9, 2001 |
| First Named Inventor | Gillies |
| Group Art Unit | 1644 |
| Examiner Name | Saunders, David A. |
| Attorney Docket No. | LEX-011 |

ENCLOSURES (check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences |
| <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____] | <input type="checkbox"/> Formal Drawing(s) | <input type="checkbox"/> Appeal Brief (in triplicate) |
| <input type="checkbox"/> Petition for Extension of Time | <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal | <input type="checkbox"/> Status Inquiry |
| <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement (2 pgs.) <input checked="" type="checkbox"/> Form PTO-1449 (13 pgs.) <input checked="" type="checkbox"/> Copies of SIDS Citations (B108-B120; C268-C428) | <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) | <input checked="" type="checkbox"/> Return Receipt Postcard |
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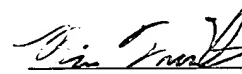
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Date: January 11, 2005
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Respectfully submitted,


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PATENT

Attorney Docket No. LEX-011

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Gillies et al.
SERIAL NO.: 09/780,668 GROUP NO.: 1644
FILING DATE: Febraury 9, 2001 EXAMINER: Saunders, D.
TITLE: Enhancing The Circulating Half-Life of Anti-body-Based Fusion Proteins

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with 37 C.F.R. 1.98(a)(2), only copies of the foreign patent documents and non-patent publications are enclosed.

REMARKS

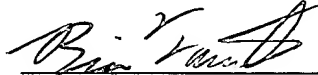
In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

Respectfully submitted,



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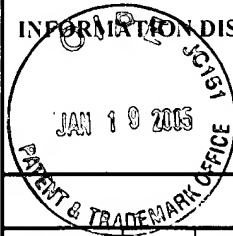
Date: January 19, 2005
Reg. No. 48,645

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Fax No.: (617) 248-7100

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FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT



ATTORNEY DOCKET NO.: LEX-011

APPLICANT(S): Gillies et al.

SERIAL NO.: 09/780,668

CONF. NO.: 8264

FILING DATE: February 9, 2001

GROUP: 1644

U.S. PATENT DOCUMENTS

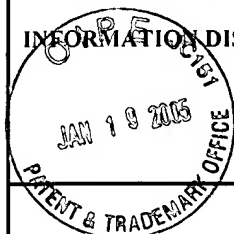
| EXAM. INIT. | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|----------------|------|--------------------|----------|--------------------------|-------|--------------|----------------------------------|
| | A114 | 4,522,811 | 6/11/85 | Eppstein <i>et al.</i> | | | |
| | A115 | 4,667,016 | 5/19/87 | Lai <i>et al.</i> | | | |
| | A116 | 4,732,683 | 3/22/88 | Georgiades <i>et al.</i> | | | |
| | A117 | 4,737,462 | 4/12/88 | Mark <i>et al.</i> | | | |
| | A118 | 4,975,369 | 12/4/90 | Beavers <i>et al.</i> | | | |
| | A119 | 5,082,658 | 1/21/92 | Palladino | | | |
| | A120 | 5,091,513 | 2/25/92 | Huston <i>et al.</i> | | | |
| | A121 | 5,399,346 | 3/21/95 | Anderson <i>et al.</i> | | | |
| | A122 | 5,428,130 | 6/27/95 | Capon <i>et al.</i> | | | |
| | A123 | 5,480,981 | 1/2/96 | Goodwin <i>et al.</i> | | | |
| | A124 | 5,589,466 | 12/31/96 | Felgner <i>et al.</i> | | | |
| | A125 | 5,614,184 | 3/25/97 | Sytkowski <i>et al.</i> | | | |
| | A126 | 5,712,120 | 1/27/98 | Rodriguez <i>et al.</i> | | | |
| | A127 | 5,738,852 | 4/14/98 | Robinson <i>et al.</i> | | | |
| | A128 | 5,795,779 | 8/18/98 | McCormick <i>et al.</i> | | | |
| | A129 | 5,827,703 | 10/27/98 | Debs <i>et al.</i> | | | |
| | A130 | 5,837,821 | 11/17/98 | Wu <i>et al.</i> | | | |
| | A131 | 5,888,773 | 3/30/99 | Jost <i>et al.</i> | | | |
| | A132 | 6,281,010 | 8/28/01 | Gao <i>et al.</i> | | | |
| | A133 | 6,291,158 | 9/18/01 | Winter <i>et al.</i> | | | |
| | A134 | 6,627,615 | 9/30/03 | Debs <i>et al.</i> | | | |
| | A135 | 2004/0180035 | 9/16/04 | Gillies <i>et al.</i> | | | |

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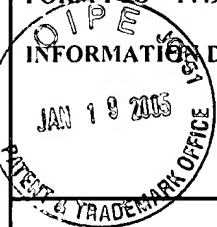
GROUP: 1644

FOREIGN PATENT DOCUMENTS

| EXAM INIT. | | DOCUMENT NUMBER | DATE | COUNTRY CODE | CLASS | SUB CLASS | FILING DATE | ABSTRACT ONLY | ENGLISH LANG (Y/N) |
|---------------|------|--------------------|----------|-----------------|-------|--------------|----------------|------------------|--------------------------|
| | B108 | 0 344 134 B1 | 01/19/94 | EP | | | | | |
| | B109 | 0 428 267 B1 | 12/11/96 | EP | | | | | |
| | B110 | 0 428 596 B1 | 4/17/96 | EP | | | | | |
| | B111 | 0 433 827 B1 | 3/14/98 | EP | | | | | |
| | B112 | 0 519 596 A1 | 12/23/92 | EP | | | | | |
| | B113 | 0 668 351 B1 | 9/22/99 | EP | | | | | |
| | B114 | 0 699 755 B1 | 4/28/04 | EP | | | | | |
| | B115 | 2 188 638 A | 10/7/87 | GB | | | | | |
| | B116 | WO 92/10755 | 6/25/92 | PCT | | | | | |
| | B117 | WO 94/25609 | 11/10/94 | PCT | | | | | |
| | B118 | WO 96/40792 | 12/19/96 | PCT | | | | | |
| | B119 | WO 98/52976 | 11/26/98 | PCT | | | | | |
| | B120 | WO 00/01822 | 1/13/00 | PCT | | | | | |

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| FORM PTO - 1449 INFORMATION DISCLOSURE STATEMENT  | | ATTORNEY DOCKET NO.: LEX-011 APPLICANT(S): Gillies et al. SERIAL NO.: 09/780,668 CONF. NO.: 8264 FILING DATE: February 9, 2001 GROUP: 1644 | |
| OTHER ART, JOURNAL ARTICLES ETC. | | | |
| EXAM. INIT. | OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication) | | |
| | C268 | Aichele <i>et al.</i> , (1994), "Peptide-Induced T-Cell Tolerance to Prevent Autoimmune Diabetes in a Transgenic Mouse Model," <u>Proc. Natl. Acad. Sci. USA</u> , 91:444-448. | |
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| | C274 | Barbulescu <i>et al.</i> , (1998), "IL-12 and IL-18 Differentially Regulate the Transcriptional Activity of the Human IFN- γ Promoter in Primary CD4+ T Lymphocytes," <u>J. Immunol.</u> , 160:3642-7. | |
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| | C280 | Bohm, (1998), "Prediction of Binding Constants of Protein Ligands: A Fast Method for the Prioritization of Hits Obtained from De Novo Design or 3D Database Search Programs," <u>J. Comput. Aided Mol. Des.</u> , 12(4):309-23. | |
| | C281 | Boshart <i>et al.</i> , (1985), "A Very Strong Enhancer is Located Upstream of an Immediate Early Gene of Human Cytomegalovirus," <u>Cell</u> , 41:521-530. | |
| | C282 | Boulianne <i>et al.</i> , (1984), "Production of Functional Chimaeric Mouse/Human Antibody," <u>Nature</u> , 312:643-6. | |

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OTHER ART, JOURNAL ARTICLES ETC.

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| C283 | Bourgois <i>et al.</i> , (1974), "Determination of the Primary Structure of a Mouse IgG2a Immunoglobulin Amino Acid Sequence of the Fc Fragment: Implications for the Evolution of Immunoglobulin Structure and Function," <u>Eur. J. Biochem.</u> , 43:423-35. |
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| C289 | Brooks <i>et al.</i> , (1983), "CHARMM: A Program for Macromolecular Energy Minimization and Dynamics Calculations," <u>J. Comput. Chemistry</u> , 4:187-217. |
| C290 | Broudy <i>et al.</i> , (1988), "Recombinant Human Erythropoietin: Purification and Analysis of Carbohydrate Linkage," <u>Arch. Biochem. Biophys.</u> , 265:329-36. |
| C291 | Bubenik <i>et al.</i> , (1995), "Interleukin-2 Gene Therapy of Residual EL-4 Leukaemia Potentiates the Effect of Cyclophosphamide Pretreatment," <u>J. Cancer Res. Clin. Oncol.</u> , 121:39-43. |
| C292 | Bumol <i>et al.</i> , (1982), "Unique Glycoprotein-Proteoglycan Complex Defined by Monoclonal Antibody on Human Melanoma Cells," <u>Proc. Natl. Acad. Sci. USA</u> , 79:1245-9. |
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| C294 | Carnemolla <i>et al.</i> , (1992), "The Inclusion of the Type III Repeat ED-B in the Fibronectin Molecule Generates Conformational Modifications that Unmask a Cryptic Sequence," <u>J. Biol. Chem.</u> , 267(34):24689-24692. |
| C295 | Cazzola <i>et al.</i> , (1998), "Red Blood Cell Precursor Mass as an Independent Determinant of Serum Erythropoietin Level," <u>Blood</u> , 91:2139-45. |
| C296 | Chan <i>et al.</i> , (1992), "Mechanisms of IFN-Gamma Induction by Natural Killer Cell Stimulatory Factor (NKSF/IL-12). Role of Transcription and mRNA Stability in the Synergistic Interaction Between NKSF and IL-2," <u>J. Immunol.</u> , 148:92-98. |
| C297 | Chappel <i>et al.</i> , (1991), "Identification of the Fc Gamma Receptor Class I Binding Site In Human IgG Through Use of Recombinant IgG1/IgG2 Hybrid and Point-Mutated Antibodies," <u>Proc. Natl. Acad. Sci. USA</u> , 88(20):9036-40. |

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ATTORNEY DOCKET NO.: LEX-011

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OTHER ART, JOURNAL ARTICLES ETC.

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OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)

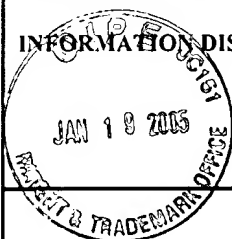
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| C298 | Cheetham, (1998), "NMR Structure of Human Erythropoietin and a Comparison with its Receptor Bound Conformation," <u>Nat. Struct. Biol.</u> , 5:861-6. |
| C299 | Ciardiello <i>et al.</i> , (1996), "Antitumor Activity of Combined Blockade of Epidermal Growth Factor Receptor and Protein Kinase A," <u>J. Natl. Cancer Inst.</u> , 88:1770-6. |
| C300 | Cirulli <i>et al.</i> , (1998), "KSA Antigen Ep-CAM Mediates Cell-Cell Adhesion of Pancreatic Epithelial Cells: Morphoregulatory Roles in Pancreatic Islet Development," <u>J. Cell Biol.</u> , 140:1519-34. |
| C301 | Cohen <i>et al.</i> , (1998), "An Artificial Cell-Cycle Inhibitor Isolated from a Combinatorial Library," <u>Proc. Natl. Acad. Sci. USA</u> , 95:14272-7. |
| C302 | Congote <i>et al.</i> , (1984), Abstract 364 in "Proceedings 7 th Intl. Congress of Endocrinology," Quebec City, Quebec. |
| C303 | Congote, (1983), "Isolation of Two Biologically Active Peptides, Erythropoietin I and Erythropoietin II from Fetal Calf Intestine," <u>Biochem. Biophys. Res. Commun.</u> , 115(2):477-83. |
| C304 | Congote, (1984), "Extraction from Fetal Bovine Serum of Erythropoietin, an Erythroid Cell-Stimulating Factor," <u>Anal. Biochem.</u> , 140:428-33. |
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| C307 | Curiel <i>et al.</i> , (1991), "Adenovirus Enhancement of Transferrin-Polylysine-Mediated Gene Delivery," <u>Proc. Natl. Acad. Sci. USA</u> , 88:8850-4. |
| C308 | Dauber-Osguthorpe <i>et al.</i> , (1988), "Structure and Energetics of Ligand Binding to Proteins: Escherichia Coli Dihydrofolate Reductase-Trimethoprim, A Drug-Receptor System," <u>Proteins</u> , 4(1):31-47. |
| C309 | Daugherty <i>et al.</i> , (1991), "Polymerase Chain Reaction Facilitates the Cloning, CDR-Grafting, and Rapid Expression of a Murine Monoclonal Antibody Directed Against the CD18 Component of Leukocyte Integrins," <u>Nucleic Acid Res.</u> , 19:2471-2476. |
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| C311 | Delorme <i>et al.</i> , (1992), "Role of Glycosylation on the Secretion and Biological Activity of Erythropoietin," <u>Biochemistry</u> , 31:9871-6. |
| C312 | Desai <i>et al.</i> , (1992), "IL-12 Receptor. II. Distribution and Regulation of Receptor Expression," <u>J. Immunol.</u> , 148:3125-32. |

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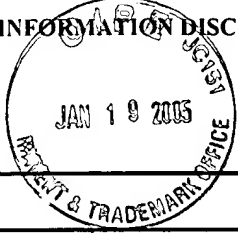
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|------|---|
| C313 | Donnelly <i>et al.</i> , (1993), "Targeted Delivery of Peptide Epitopes to Class I Major Histocompatibility Molecules by a Modified Pseudomonas Exotoxin," <u>Proc. Natl. Acad. Sci. USA</u> , 90:3530-4. |
| C314 | Donnelly <i>et al.</i> , (1997), "DNA Vaccines," <u>Annu. Rev. Immunol.</u> , 15:617-48. |
| C315 | Dube <i>et al.</i> , (1988), "Glycosylation at Specific Sites of Erythropoietin is Essential for Biosynthesis, Secretion, and Biological Function," <u>J. Biol. Chem.</u> , 263:17516-21. |
| C316 | Ellison <i>et al.</i> , (1982), "The Nucleotide Sequence of a Human Immunoglobulin C Gamma1 Gene," <u>Nucleic Acids Res.</u> , 10:4071-9. |
| C317 | Faas <i>et al.</i> , (1993), "Phenotypically Diverse Mouse Thymic Stromal Cell Lines which Induce Proliferation and Differentiation Of Hematopoietic Cells," <u>Eur. J. Immunol.</u> , 23:1201-14. |
| C318 | Farner <i>et al.</i> , (1995), "Distinction Between Gamma C Detection and Function in YT Lymphoid Cells and in the Granulocyte-Macrophage Colony-Stimulating Factor-Responsive Human Myeloid Cell Line, Tf-1," <u>Blood</u> , 86:4568-78. |
| C319 | Fawell <i>et al.</i> , (1994), "Tat-Mediated Delivery of Heterologous Proteins into Cells," <u>Proc. Natl. Acad. Sci. USA</u> , 91:664-8. |
| C320 | Fu <i>et al.</i> , (1993), "The Sheep Erythropoietin Gene: Molecular Cloning and Effect of Hemorrhage on Plasma Erythropoietin and Renal/Liver Messenger RNA in Adult Sheep," <u>Mol. Cell. Endocrinol.</u> , 93:107-16. |
| C321 | Gainsford <i>et al.</i> , (1996), "Leptin Can Induce Proliferation, Differentiation, and Functional Activation of Hemopoietic Cells," <u>Proc. Natl. Acad. Sci. USA</u> , 93:14564-14568. |
| C322 | Gammon <i>et al.</i> , (1992), "Endogenous Loading of HLA-A2 Molecules with an Analog of the Influenza Virus Matrix Protein-Derived Peptide and Its Inhibition By An Exogenous Peptide Antagonist," <u>J. Immunol.</u> , 148:7-12. |
| C323 | Ghetie <i>et al.</i> , (1990), "Disseminated or Localized Growth of a Human B-Cell Tumor (Daudi) in SCID Mice," <u>Intl. J. Cancer</u> , 45:481. |
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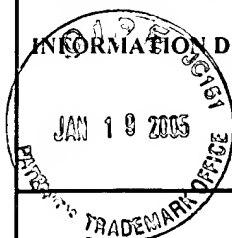
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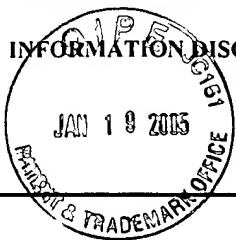
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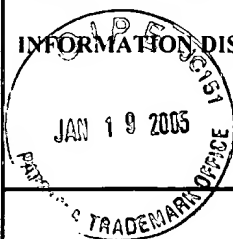
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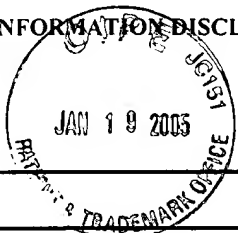
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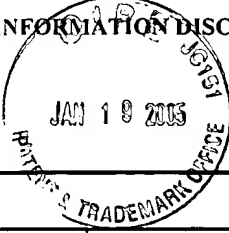
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| OTHER ART, JOURNAL ARTICLES ETC. | | | |
| EXAM. INIT. | OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication) | | |
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